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# General Upper Bounds for the Numerical Radii of Powers of Hilbert Space Operators

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**Abstract:** In this paper, we will present several upper bounds for the numerical radii of a operator matrices. We use these bounds to generalize and improve some well-known numerical radius inequalities. We provide a refinement of an earlier numerical radius inequality due to (Bani-Domi & Kittaneh, 2021) [Norm and numerical radius inequalities for Hilbert space operators], (Bani-Domi & Kittaneh, 2021) [Refined and generalized numerical radius inequalities for operator matrices] and (Al-Dolat & Kittaneh, 2023) [Upper bounds for the numerical radii of powers of Hilbert space operators].

Keywords: Numerical radius, Usual operator norm, Operator matrix, Buzano inequality.

# Introduction

Let  $\mathcal{B}(\mathcal{H})$  be the  $\mathcal{C}^*$  -algebra of all bounded linear operators on the complex Hilbert space  $\mathcal{H}$ . Recall that the numerical radius w(.) and the usual operator norm ||.|| are, respectively, defined by

$$w(Y) = \sup_{\||x\||=1} |\langle Yx, x \rangle| \text{ and } \|Y\| = \sup_{\||x\||=1} \|Yx\| \text{ where} Y \in \mathcal{B}(\mathcal{H}).$$

A fundamental relation between the norms w(.) and ||.|| is the following inequality

$$\frac{1}{2}\|Y\| \le w(Y) \le \|Y\| \text{ for every } Y \in \mathcal{B}(\mathcal{H}).$$
(1.1)

Many mathematicians are interested in giving refinements for the inequalities in (1.1). For example, in Kittaneh (2005) Kittaneh provided the following improvement

$$\frac{1}{4} |||Y|^2 + |Y^*|^2|| \le w^2(Y) \le \frac{1}{2} |||Y|^2 + |Y^*|^2|| \text{ for every } Y \in \mathcal{B}(\mathcal{H})$$
(1.2)

where  $|Y| = (Y^*Y)^{\frac{1}{2}}$ .

In El-Haddad and Kittaneh (2007), the authors showed that the upper bound in (1.2) can be generalized as follows:

$$w^{2r}(Y) \le \frac{1}{2} |||Y|^{2r} + |Y^*|^{2r} ||$$
 forevery  $r \ge 1$  and  $Y \in \mathcal{B}(\mathcal{H})$ . (1.3)

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Recently, in Al-Dolat and Kittaneh (2023), Al-Dolat and Kittaneh have refined the inequality (1.3) by showing that

$$w^{2r}(Y) \le \frac{1+\alpha}{4} ||Y|^{2r} + |Y^*|^{2r}|| + \frac{1-\alpha}{2} w^r(Y^2) \text{ for every } Y \in \mathcal{B}(\mathcal{H}), \ r \ge 1 \text{ and } \alpha \in [0,1].$$
(1.4)

In Kittaneh (2003), Kittaneh showed the following inequality

$$w(Y) \le \frac{1}{2} |||Y| + |Y^*||| \quad \text{for every } Y \in \mathcal{B}(\mathcal{H}).$$

$$(1.5)$$

In Dragomir (2009), Dragomir showed that the numerical radius of a product of two operators has the following upper bound

$$w^{r}(Y^{*}X) \leq \frac{1}{2} |||X|^{2r} + |Y|^{2r}|| \text{ for every } r \geq 1 \text{ and } X, Y \in \mathcal{B}(\mathcal{H}).$$
(1.6)

Let  $\mathcal{H}$  be a complex Hilbert space and let  $\mathcal{H}^{(2)} = \mathcal{H} \bigoplus \mathcal{H}$  denote the 2 –copies of  $\mathcal{H}$ . Based on this decomposition every operator  $Y \in \mathcal{B}(\mathcal{H}^{(2)})$  has a 2 × 2 operator matrix representation

$$\mathbf{Y} = \begin{bmatrix} \boldsymbol{Y}_{11} & \boldsymbol{Y}_{12} \\ \boldsymbol{Y}_{21} & \boldsymbol{Y}_{22} \end{bmatrix}$$

With  $Y_{ij} \in \mathcal{B}(\mathcal{H})$  where  $i, j \in \{1, 2\}$ . To learn more about the numerical radii of operator of matrices and their applications, one can refer to (Al-Dolat et al., 2016; Al-Dolat & Jaradat, 2023).

In this paper, we give new upper bounds for the numerical radii of  $2 \times 2$  operator matrices. Based on those bounds, we obtain refinements of the inequality (1.4). Also, we refine earlier numerical radius inequalities for an operator of matrices obtained in (Bani-Domi & Kittaneh, 2021; Al-Dolat & Kittaneh, 2023).

# **Results and Discussion**

For our purpose, we need to recall a few well-known lemmas.

**Lemma 2.1** (*Kittaneh, 1988*). Let  $Y \in \mathcal{B}(\mathcal{H})$  be a positive operator and let  $x \in \mathcal{H}$  with ||x|| = 1. Then

$$\langle Yx, x \rangle^r \leq \langle Y^r x, x \rangle$$
 for every  $r \geq 1$ .

**Lemma 2.2** (Aujla & Silva, 2003). Let f be a non-negative convex function on  $[0, \infty)$  and  $X, Y \in \mathcal{B}(\mathcal{H})$  be positive operators. Then

$$\left\|f\left(\frac{X+Y}{2}\right)\right\| \le \left\|\frac{f(X)+f(Y)}{2}\right\|.$$

In particular,

$$||(X + Y)^r|| \le 2^{r-1}||X^r + Y^r||$$
 for every  $r \ge 1$ .

**Lemma 2.3** (*Hirzallah & Kittaneh*, 2011). Let  $X, Y \in \mathcal{B}(\mathcal{H})$ . Then

(a)

$$w\left(\begin{bmatrix} X & 0\\ 0 & Y \end{bmatrix}\right) = \max\left\{w(X), w(Y)\right\},$$
  
(b)  
$$w\left(\begin{bmatrix} X & Y\\ Y & X \end{bmatrix}\right) = \max\left\{w(X+Y), w(X-Y)\right\}.$$

In particular,

$$w\left(\begin{bmatrix} 0 & Y \\ Y & 0 \end{bmatrix}\right) = w(Y) \, .$$

**Lemma 2.4** (Buzano, 1974). Let  $u, v, w \in \mathcal{H}$  with ||w|| = 1. Then

$$|\langle u, w \rangle \langle w, v \rangle| \leq \frac{1}{2} (||u|| ||v|| + |\langle u, v \rangle|).$$

**Lemma 2.5** (Moradi & Sababheh, 2021). Let  $X, Y \in \mathcal{B}(\mathcal{H})$ . be self-adjoint. Then

$$w^{2}(X + iY) \leq ||X^{2} + Y^{2}||.$$

Our first main result in this paper provides a refinement for the upper bound given in (Ajula & Silva, 2003, Theorem 2.6).

**Theorem 2.6** Let  $X, Y \in \mathcal{B}(\mathcal{H})$ . Then for every  $\alpha \in [0,1]$  and  $r \geq 2$ , we have

$$w^{r}(Y^{*}X) \leq \frac{\alpha}{2} |||X|^{r} + |Y|^{r} ||w^{\frac{r}{2}}(Y^{*}X) + \frac{1-\alpha}{2}w^{2}(|X|^{r} + i|Y|^{r}).$$

*Proof.* Let  $x \in \mathcal{H}$  be any unit vector. Then by letting u = Xx and v = Yx in Lemma 2.5, we have

$$\begin{aligned} |\langle Y^*Xx, x\rangle|^r &= \alpha |\langle Xx, Yx\rangle|^{\frac{r}{2}} |\langle Xx, Yx\rangle|^{\frac{r}{2}} + (1-\alpha)|\langle Xx, Yx\rangle|^r \\ &\leq \alpha ||Xx||^{\frac{r}{2}} ||Yx||^{\frac{r}{2}} |\langle Y^*Xx, x\rangle|^{\frac{r}{2}} + (1-\alpha)||Xx||^r ||Yx||^r \\ &\leq \frac{\alpha}{2} (||Xx||^r + ||Yx||^r)|\langle Y^*Xx, x\rangle|^{\frac{r}{2}} + \frac{1-\alpha}{2} (||Xx||^{2r} + ||Yx||^{2r}) \end{aligned}$$

(by the arithmetic – geometric mean inequality)

$$= \frac{\alpha}{2} \left( \langle |X|^2 x, x \rangle^{\frac{r}{2}} + \langle |Y|^2 x, x \rangle^{\frac{r}{2}} \right) |\langle Y^* X x, x \rangle|^{\frac{r}{2}} + \frac{1-\alpha}{2} \left( \langle |X|^2 x, x \rangle^{r} + \langle |Y|^2 x, x \rangle^{r} \right)$$

$$\leq \frac{\alpha}{2} \left( \langle |X|^r x, x \rangle + \langle |Y|^r x, x \rangle \right) |\langle Y^* X x, x \rangle|_2^r + \frac{1-\alpha}{2} \left( \langle |X|^r x, x \rangle^2 + \langle |Y|^r x, x \rangle^2 \right) \text{(by Lemma 2.1)}$$
$$= \frac{\alpha}{2} \left\langle \left( |X|^r + |Y|^r \right) x, x \rangle |\langle Y^* X x, x \rangle|_2^r + \frac{1-\alpha}{2} |\langle \left( |X|^r + i|Y|^r \right) x, x \rangle|_2^r \right) \right|^2.$$

Thus,

$$w^{r}(Y^{*}X) = \sup_{||x||=1} |\langle Y^{*}Xx, x \rangle|^{r}$$
  
$$\leq \frac{\alpha}{2} |||X|^{r} + |Y|^{r} ||w^{\frac{r}{2}}(Y^{*}X) + \frac{1-\alpha}{2} w^{2}(|X|^{r} + i|Y|^{r}).$$

**Remark 2.7** The upper bound presented in the above theorem is smaller than the upper bound given in the inequality (1.6). To see this, note that for every  $\alpha \in [0,1]$  and  $r \geq 2$ , we have

$$\begin{split} w^{r}(Y^{*}X) &\leq \frac{\alpha}{2} \| \|X\|^{r} + \|Y\|^{r} \| w^{\frac{r}{2}}(Y^{*}X) + \frac{1-\alpha}{2} w^{2}(|X|^{r} + i|Y|^{r}) \quad \text{(by Theorem 2.6)} \\ &\leq \frac{\alpha}{2} \| \|X\|^{r} + |Y|^{r} \| w^{\frac{r}{2}}(Y^{*}X) + \frac{1-\alpha}{2} \| \|X\|^{2r} + |Y|^{2r} \| \text{(by Lemma 2.6)} \\ &\leq \frac{\alpha}{4} \| (\|X\|^{r} + \|Y\|^{r})^{2} \| + \frac{1-\alpha}{2} \| \|X\|^{2r} + \|Y\|^{2r} \| \quad \text{(by the inequality (1.6))} \\ &\leq \frac{\alpha}{2} \| \|X\|^{2r} + \|Y\|^{2r} \| + \frac{1-\alpha}{2} \| \|X\|^{2r} + \|Y\|^{2r} \| \quad \text{(by Lemma 2.5)} \\ &= \frac{1}{2} \| \|X\|^{2r} + \|Y\|^{2r} \|. \end{split}$$

The next result in this paper refine [Aujla & Silva, 2003, Theorem 2.9].

**Theorem 2.8** Let  $X \in \mathcal{B}(\mathcal{H})$ . Then for every  $\alpha \in [0,1]$  and  $r \geq 2$ , we have

$$w^{r}(X) \leq \frac{\alpha}{2} w^{2} \left( |X|^{\frac{r}{2}} + i|X^{*}|^{\frac{r}{2}} \right) + \frac{1-\alpha}{2} w^{\frac{r}{2}}(X) \left\| |X|^{\frac{r}{2}} + |X^{*}|^{\frac{r}{2}} \right\|$$

*Proof.* Let  $x \in \mathcal{H}$  be any unit vector. Then we have

$$\begin{split} |\langle Xx, x \rangle|^r &= \alpha |\langle Xx, x \rangle|^r + (1 - \alpha) |\langle Xx, x \rangle|^r \\ &\leq \alpha \langle |X|x, x \rangle^{\frac{r}{2}} \langle |X^*|x, x \rangle^{\frac{r}{2}} + (1 - \alpha) |\langle Xx, x \rangle|^{\frac{r}{2}} \langle |X|x, x \rangle^{\frac{r}{4}} \langle |X^*|x, x \rangle^{\frac{r}{4}} \end{split}$$

(by the Mixed Schwarz inequality)

$$\leq \frac{\alpha}{2} \left( \langle |X|x,x\rangle^r + \langle |X^*|x,x\rangle^r \right) + \frac{1-\alpha}{2} |\langle Xx,x\rangle|^{\frac{r}{2}} \left( \langle |X|x,x\rangle^{\frac{r}{2}} + \langle |X^*|x,x\rangle^{\frac{r}{2}} \right)$$

(by the arithmetic - geometric mean inequality)

$$\leq \frac{\alpha}{2} \left( \left\langle |X|^{\frac{r}{2}} x, x \right\rangle^{2} + \left\langle |X^{*}|^{\frac{r}{2}} x, x \right\rangle^{2} \right) + \frac{1-\alpha}{2} |\langle Xx, x \rangle|^{\frac{r}{2}} \left( \left( |X|^{\frac{r}{2}} + |X^{*}|^{\frac{r}{2}} \right) x, x \right) \text{ (by Lemma 2.1)}$$
$$= \frac{\alpha}{2} \left| \left\langle \left( |X|^{\frac{r}{2}} + i|X^{*}|^{\frac{r}{2}} \right) x, x \right\rangle \right|^{2} + \frac{1-\alpha}{2} |\langle Xx, x \rangle|^{\frac{r}{2}} \left( \left( |X|^{\frac{r}{2}} + |X^{*}|^{\frac{r}{2}} \right) x, x \right).$$

Thus,

$$w^{r}(X) = \sup_{||x||=1} |\langle Xx, x \rangle|^{r}$$

$$\leq \frac{\alpha}{2} w^{2} \left( |X|^{\frac{r}{2}} + i|X^{*}|^{\frac{r}{2}} \right) + \frac{1-\alpha}{2} w^{\frac{r}{2}}(X) \left\| |X|^{\frac{r}{2}} + |X^{*}|^{\frac{r}{2}} \right\|.$$

**Remark 2.9** The upper bound presented in the above theorem is smaller than the upper bound given in the inequality (1.3). To see this, note that for every  $\alpha \in [0,1]$  and  $r \geq 2$ , we have

$$\begin{split} w^{r}(X) &\leq \frac{\alpha}{2} w^{2} \left( |X|^{\frac{r}{2}} + i|X^{*}|^{\frac{r}{2}} \right) + \frac{1-\alpha}{2} w^{\frac{r}{2}}(X) \left\| |X|^{\frac{r}{2}} + |X^{*}|^{\frac{r}{2}} \right\| \text{ (by Theorem 2.9)} \\ &\leq \frac{\alpha}{2} \| |X|^{r} + |X^{*}|^{r} \| + \frac{1-\alpha}{2} w^{\frac{r}{2}}(X) \left\| |X|^{\frac{r}{2}} + |X^{*}|^{\frac{r}{2}} \right\| \text{ (by Lemma 2.5)} \\ &\leq \frac{\alpha}{2} \| |X|^{r} + |X^{*}|^{r} \| + \frac{1-\alpha}{4} \left\| \left( |X|^{\frac{r}{2}} + |X^{*}|^{\frac{r}{2}} \right)^{2} \right\| \text{ (by the inequality (1.3))} \\ &\leq \frac{\alpha}{2} \| |X|^{r} + |X^{*}|^{r} \| + \frac{1-\alpha}{2} \| |X|^{r} + |X^{*}|^{r} \| \text{ (by Lemma 2.2)} \\ &= \frac{1}{2} \| |X|^{r} + |X^{*}|^{r} \|. \end{split}$$

Now, we give an upper bound for the numerical radius of a  $2 \times 2$  operator matrix which generalize [8, Theorem 2.1].

**Theorem 2.10** Let  $A, B, C, D \in \mathcal{B}(\mathcal{H})$ . Then for every  $r \geq 2$ , we have

$$w^{r}\left(\begin{bmatrix} A & B \\ C & D \end{bmatrix}\right) \leq 2^{r-1} \max\left\{w^{r}(A), w^{r}(D)\right\} + 2^{r-2} \max\left\{w^{\frac{r}{2}}(BC), w^{\frac{r}{2}}(CB)\right\}$$
$$+2^{r-3} \max\left\{\left\||C|^{r} + |B^{*}|^{r}\right\|, \left\||B|^{r} + |C^{*}|^{r}\right\|\right\}$$

Proof. Let

$$\begin{split} Y = \begin{bmatrix} A & B \\ C & D \end{bmatrix}, & Y_1 = \begin{bmatrix} A & 0 \\ 0 & D \end{bmatrix} \text{ and} \\ Y_2 = \begin{bmatrix} 0 & B \\ C & 0 \end{bmatrix}. & \text{Then for every unit vector } x \in \mathcal{H}^{(2)}, \text{ we have} \\ & |\langle Yx, x \rangle|^r \leq (|\langle Y_1 x, x \rangle| + |\langle Y_2 x, x \rangle|)^r \\ & \leq 2^{r-1} |\langle Y_1 x, x \rangle|^r + 2^{r-1} |\langle Y_2 x, x \rangle|^r \text{ (by the convexity of } t^r, r \geq 2) \\ & \leq 2^{r-1} |\langle Y_1 x, x \rangle|^r + 2^{r-1} |\langle Y_2 x, x \rangle \langle x, Y_2^* x \rangle|^{\frac{r}{2}} \\ & \leq 2^{r-1} |\langle Y_1 x, x \rangle|^r + 2^{r-1} (\frac{|\langle Y_2^2 x, x \rangle|}{2} + \frac{||Y_2 x|| ||Y_2^* x||}{2})^{\frac{r}{2}} \text{ (by Lemma 2.4)} \\ & \leq 2^{r-1} |\langle Y_1 x, x \rangle|^r + 2^{r-2} \left( |\langle Y_2^2 x, x \rangle|^{\frac{r}{2}} + ||Y_2 x||^{\frac{r}{2}} ||Y_2^* x||^{\frac{r}{2}} \right) \text{ (by the convexity of } t^{\frac{r}{2}}, r \geq 2) \end{split}$$

$$\leq 2^{r-1} |\langle Y_1 x, x \rangle|^r + 2^{r-2} |\langle Y_2^2 x, x \rangle|^{\frac{r}{2}} + 2^{r-3} (||Y_2 x||^r + ||Y_2^* x||^r)$$

(by the arithmetic - geometric mean inequality)

$$\leq 2^{r-1} |\langle Y_1 x, x \rangle|^r + 2^{r-2} |\langle Y_2^2 x, x \rangle|^{\frac{r}{2}} + 2^{r-3} \langle (|Y_2|^r + |Y_2^*|^r) x, x \rangle.$$

Therefore,

$$w^{r}(Y) = \sup_{\|x\|=1} |\langle Yx, x \rangle|^{r}$$
  

$$\leq 2^{r-1} w^{r}(Y_{1}) + 2^{r-2} w^{\frac{r}{2}}(Y_{2}^{2}) + 2^{r-3} \||Y_{2}|^{r} + |Y_{2}^{*}|^{r} \|$$
  

$$= 2^{r-1} \max\{w^{r}(A), w^{r}(D)\} + 2^{r-2} \max\{w^{\frac{r}{2}}(BC), w^{\frac{r}{2}}(CB)\}$$
  

$$+ 2^{r-3} \max\{\||C|^{r} + |B^{*}|^{r}\|, \||B|^{r} + |C^{*}|^{r}\|\}.$$

There are many upper bounds for the numerical radii of Hilbert space operators that can be obtained from Theorem 2.12. The following results demonstrate some of these upper bounds.

**Corollary 2.11** Let  $A, B \in \mathcal{B}(\mathcal{H})$ ., Then for every  $r \geq 2$ , we have

$$\max\left\{w^{r}(A-B), w^{r}(A+B)\right\} = w^{r}\left(\begin{bmatrix}A & B\\ B & A\end{bmatrix}\right)$$
$$\leq 2^{r-1}w^{r}(A) + 2^{r-2}w^{\frac{r}{2}}(B^{2}) + 2^{r-3}\left\|\left|B\right|^{r} + \left|B^{*}\right|^{r}\right\|.$$

By setting A = 0 and B = X in the above corollary we have the following result.

**Corollary 2.12** Let  $X \in \mathcal{B}(\mathcal{H})$ . Then for every  $r \geq 2$ , we have

$$w^{r}(X) = w^{r} \left( \begin{bmatrix} 0 & X \\ X & 0 \end{bmatrix} \right)$$
  
$$\leq \frac{1}{2} w^{\frac{r}{2}}(X^{2}) + \frac{1}{4} \left\| |X|^{r} + |X^{*}|^{r} \right\|$$
  
$$\leq \frac{1}{2} \left\| |X|^{r} + |X^{*}|^{r} \right\|.$$

To prove Theorem 2.16, we need the following lemma which can be found in (Al-Dolat & Al-Zoubi, 2023). Lemma 2.13 Let  $u, v, w \in \mathcal{H}$  with ||w|| = 1. Then

$$|\langle u, w \rangle \langle w, v \rangle|^{r} \leq \frac{1}{2} ||u||^{r} ||v||^{r} + \frac{\alpha}{2} ||u||^{\frac{r}{2}} ||v||^{\frac{r}{2}} |\langle u, v \rangle|^{\frac{r}{2}} + \frac{1-\alpha}{2} |\langle u, v \rangle|^{r}$$

For every  $r \ge 1$  and  $\alpha \in [0,1]$ .

Now, we present new upper bound for the numerical radius of the off-diagonal of a  $2 \times 2$  operator matrix.

**Theorem 2.14** Let  $B, C \in \mathcal{B}(\mathcal{H})$ . Then

$$w^{2r} \left( \begin{bmatrix} 0 & B \\ C & 0 \end{bmatrix} \right) \leq \frac{1}{4} \max \left\{ w^{2} (|C| + i |B^{*}|), w^{2} (|B| + i |C^{*}|) \right\}$$
$$+ \frac{\alpha}{4} \max \left\{ \left\| |C|^{r} + |B^{*}|^{r} \right\|, \left\| |B|^{r} + |C^{*}|^{r} \right\| \right\} \max \left\{ w^{\frac{r}{2}} (BC), w^{\frac{r}{2}} (CB) \right\}$$
$$+ \frac{1 - \alpha}{2} \max \left\{ w^{r} (BC), w^{r} (CB) \right\},$$

For every  $r \ge 2$  and  $\alpha \in [0,1]$ .

Proof. Let

$$Y = \begin{bmatrix} 0 & B \\ C & 0 \end{bmatrix}.$$

Then for every  $x \in \mathcal{H}^{(2)}$  with ||x|| = 1, we have

$$\begin{aligned} |\langle Yx, x \rangle|^{2r} &= |\langle Yx, x \rangle \langle x, Y^*x \rangle|^r \\ &\leq \frac{1}{2} \|Yx\|^r \|Y^*x\|^r + \frac{\alpha}{2} \|Yx\|^{\frac{r}{2}} \|Y^*x\|^{\frac{r}{2}} |\langle Yx, Y^*x \rangle|^{\frac{r}{2}} + \frac{1-\alpha}{2} |\langle Yx, Y^*x \rangle|^r \quad \text{(by Lemma 2.15)} \\ &\leq \frac{1}{4} (\|Yx\|^{2r} + \|Y^*x\|^{2r}) + \frac{\alpha}{4} (\|Yx\|^r + \|Y^*x\|^r) |\langle Y^2x, x \rangle|^{\frac{r}{2}} + \frac{1-\alpha}{2} |\langle Y^2x, x \rangle|^r \end{aligned}$$

(by the arithmetic – geometric mean inequality)

$$\leq \frac{1}{4} (\langle |Y|^{r} x, x \rangle^{2} + \langle |Y^{*}|^{r} x, x \rangle^{2}) + \frac{\alpha}{4} \langle (|Y|^{r} + |Y^{*}|^{r}) x, x \rangle |\langle Y^{2} x, x \rangle|^{\frac{r}{2}} + \frac{1-\alpha}{2} |\langle Y^{2} x, x \rangle|^{r}$$
(by Lemma 2.1)
$$= \frac{1}{4} |\langle (|Y|^{r} + i|Y^{*}|^{r}) x, x \rangle|^{2} + \frac{\alpha}{4} \langle (|Y|^{r} + |Y^{*}|^{r}) x, x \rangle |\langle Y^{2} x, x \rangle|^{\frac{r}{2}} + \frac{1-\alpha}{2} |\langle Y^{2} x, x \rangle|^{r}.$$

Thus,

$$w^{2r}(Y) = \sup_{||x|=1} |\langle Yx, x \rangle|^{2r}$$
  

$$\leq \frac{1}{4} \max \left\{ w^2 (|C| + i |B^*|), w^2 (|B| + i |C^*|) \right\}$$
  

$$+ \frac{\alpha}{4} \max \{ |||C|^r + |B^*|^r ||, |||B|^r + |C^*|^r || \} \max \left\{ w^{\frac{r}{2}}(BC), w^{\frac{r}{2}}(CB) \right\}$$

$$+\frac{1-\alpha}{2}\max\{w^r(BC),w^r(CB)\}.$$

As special case of Theorem 2.16, we have the following refinement of the inequality (1.4).

**Corollary 2.15** Let  $X \in \mathcal{B}(\mathcal{H})$ . Then for every  $\alpha \in [0,1]$  and  $r \geq 2$  we have

$$w^{2r}(X) \leq \frac{1}{4}w^{2}(|X|^{r} + i|X^{*}|^{r}) + \frac{\alpha}{4} ||X|^{r} + |X^{*}|^{r} ||w^{\frac{r}{2}}(X^{2}) + \frac{1-\alpha}{2}w^{r}(X^{2})$$
$$\leq \frac{1+\alpha}{4} ||X|^{2r} + |X^{*}|^{2r} || + \frac{1-\alpha}{2}w^{r}(X^{2}).$$

Proof. We have

$$w^{2r}(X) = w^{2r} \left( \begin{bmatrix} 0 & X \\ X & 0 \end{bmatrix} \right)$$
 (by Lemma 2.3)

$$\leq \frac{1}{4}w^{2}(|X|^{r} + i|X^{*}|^{r}) + \frac{\alpha}{4}||X|^{r} + |X^{*}|^{r}||w^{\frac{r}{2}}(X^{2}) + \frac{1-\alpha}{2}w^{r}(X^{2}) \quad \text{(by Theorem 2.16)}$$

$$\leq \frac{1}{4}||X|^{2r} + |X^{*}|^{2r}|| + \frac{\alpha}{4}||X|^{r} + |X^{*}|^{r}||w^{\frac{r}{2}}(X^{2}) + \frac{1-\alpha}{2}w^{r}(X^{2}) \quad \text{(by Lemma 2.5)}$$

$$\leq \frac{1}{4}||X|^{2r} + |X^{*}|^{2r}|| + \frac{\alpha}{8}||(|X|^{r} + |X^{*}|^{r})^{2}|| + \frac{1-\alpha}{2}w^{r}(X^{2}) \quad \text{(by the inequality (1.6))}$$

$$\leq \frac{1+\alpha}{4}||X|^{2r} + |X^{*}|^{2r}|| + \frac{1-\alpha}{2}w^{r}(X^{2}) \quad \text{(by Lemma 2.2).}$$

In the following result, we find a new an upper bound for the numerical radius of a 2 × 2 operator matrix. **Corollary 2.16** Let A, B, C,  $D \in \mathcal{B}(\mathcal{H})$ . Then for every  $r \ge 2$  and  $\alpha \in [0,1]$ , we have

$$w^{2r} \left( \begin{bmatrix} A & B \\ C & D \end{bmatrix} \right) \le 2^{2r-1} \max \left\{ w^{2r}(A), w^{2r}(D) \right\}$$
  
+2<sup>2r-3</sup> max  $\left\{ w^{2}(|C|+i|B^{*}|), w^{2}(|B|+i|C^{*}|) \right\}$   
+ $\alpha 2^{2r-3} \max \{ |||C|^{r} + |B^{*}|^{r} ||, |||B|^{r} + |C^{*}|^{r} ||\} \max \left\{ w^{\frac{r}{2}}(BC), w^{\frac{r}{2}}(CB) \right\}$   
+ $(1 - \alpha) 2^{2r-2} \max \{ w^{r}(BC), w^{r}(CB) \}.$ 

*Proof.* By the convexity the of  $t^{2r}$  and Theorem 2.16 we get

$$w^{2r} \left( \begin{bmatrix} A & B \\ C & D \end{bmatrix} \right) = \left( w \left( \begin{bmatrix} A & 0 \\ 0 & D \end{bmatrix} \right) + w \left( \begin{bmatrix} 0 & B \\ C & 0 \end{bmatrix} \right) \right)^{2r}$$
$$\leq 2^{2r-1} w^{2r} \left( \begin{bmatrix} A & 0 \\ 0 & D \end{bmatrix} \right) + 2^{2r-1} w^{2r} \left( \begin{bmatrix} 0 & B \\ C & 0 \end{bmatrix} \right)$$

$$\leq 2^{2r-1} \max\{w^{2r}(A), w^{2r}(D)\} + 2^{2r-3} \max\{w^{2}(|C|^{r} + i|B^{*}|^{r}), w^{2}(|B|^{r} + i|C^{*}|^{r})\} \\ + \alpha 2^{2r-3} \max\{||C|^{r} + |B^{*}|^{r}||, ||B|^{r} + |C^{*}|^{r}||\} \max\{w^{\frac{r}{2}}(BC), w^{\frac{r}{2}}(CB)\} \\ + (1 - \alpha) 2^{2r-2} \max\{w^{r}(BC), w^{r}(CB)\}.$$

The following result presents an upper bound for the numerical radius of the sum of operators.

**Corollary 2.17** Let  $A, B \in \mathcal{B}(\mathcal{H})$ . Then for every  $r \geq 2$  and  $\alpha \in [0,1]$  we have

$$\max\left\{w^{2r}(A-B), w^{2r}(A+B)\right\} = w^{2r} \left(\begin{bmatrix} A & B \\ B & A \end{bmatrix}\right)$$
  
$$\leq 2^{2r-1}w^{2r}(A) + 2^{2r-3}w^{2}(|B|^{r} + i|B^{*}|^{r}) + \alpha 2^{2r-3} |||B|^{r} + |B^{*}|^{r} ||w^{\frac{r}{2}}(B^{2})$$
  
$$+ (1-\alpha)2^{2r-2}w^{r}(B^{2}).$$

To prove Theorem 2.22, we need the following lemma which can be found in (Al-Dolat & Al-Zoubi, 2023).

**Lemma 2.18** Let  $u, v, w \in \mathcal{H}$  with ||w|| = 1. Then

$$|\langle u, w \rangle \langle w, v \rangle|^{r} \leq \frac{1}{4} ||u||^{r} ||v||^{r} + \frac{2+\alpha}{4} ||u||^{\frac{r}{2}} ||v||^{\frac{r}{2}} |\langle u, v \rangle|^{\frac{r}{2}} + \frac{1-\alpha}{4} |\langle u, v \rangle|^{r}$$

where  $\alpha \in [0,1]$  and  $r \geq 2$ .

Now, we can state the following result in this paper as follows.

**Theorem 2.19** Let  $B, C \in \mathcal{B}(\mathcal{H})$ . Then for every  $\alpha \in [0,1]$  and  $r \geq 2$  we have

$$w^{2r} \left( \begin{bmatrix} 0 & B \\ C & 0 \end{bmatrix} \right) \leq \frac{1}{8} \max \left\{ w^{2} (|C|^{r} + i |B^{*}|^{r}), w^{2} (|B|^{r} + i |C^{*}|^{r}) \right\}$$
$$+ \frac{2+\alpha}{8} \max \{ |||C|^{r} + |B^{*}|^{r} ||, |||B|^{r} + |C^{*}|^{r} || \} \max \left\{ w^{\frac{r}{2}} (BC), w^{\frac{r}{2}} (CB) \right\}$$
$$+ \frac{1-\alpha}{4} \max \{ w^{r} (BC), w^{r} (CB) \}.$$

Proof. Let

$$Y = \begin{bmatrix} 0 & B \\ C & 0 \end{bmatrix}.$$

Then for every  $x \in \mathcal{H}^{(2)}$  with ||x|| = 1 we have

$$|\langle Yx, x \rangle|^{2r} = |\langle Yx, x \rangle \langle x, Y^*x \rangle|^{r}$$

$$\leq \frac{1}{4} ||Yx||^{r} ||Y^{*}x||^{r} + \frac{2+\alpha}{4} ||Yx||^{\frac{r}{2}} ||Y^{*}x||^{\frac{r}{2}} |\langle Yx, Y^{*}x\rangle|^{\frac{r}{2}} + \frac{1-\alpha}{4} |\langle Yx, Y^{*}x\rangle|^{r} \quad \text{(by Lemma 2.21)}$$
  
$$\leq \frac{1}{8} (||Yx||^{2r} + ||Y^{*}x||^{2r}) + \frac{2+\alpha}{8} (||Yx||^{r} + ||Y^{*}x||^{r}) |\langle Y^{2}x, x\rangle|^{\frac{r}{2}} + \frac{1-\alpha}{4} |\langle Y^{2}x, x\rangle|^{r}$$

(by the arithmetic – geometric mean inequality)

$$\leq \frac{1}{8} |\langle (|Y|^r + i|Y^*|^r) x, x \rangle|^2 + \frac{2+\alpha}{8} \langle (|Y|^r + |Y^*|^r) x, x \rangle |\langle Y^2 x, x \rangle|_2^{\frac{r}{2}} + \frac{1-\alpha}{4} |\langle Y^2 x, x \rangle|^r$$

(by Lemma 2.1).

Therefore,

$$\begin{split} w^{2r}(Y) &= \sup_{||x||=1} |\langle Yx, x \rangle|^{2r} \\ &\leq \frac{1}{8} |\langle (|Y|^r + i|Y^*|^r)x, x \rangle|^2 + \frac{2+\alpha}{8} \||Y|^r + |Y^*|^r \|w^{\frac{r}{2}}(Y^2) + \frac{1-\alpha}{4} w^r(Y^2) \\ &= \frac{1}{8} \max\{w^2(|C|^r + i|B^*|^r), w^2(|B|^r + i|C^*|^r)\} \\ &+ \frac{2+\alpha}{8} \max\{\||C|^r + |B^*|^r\|, \||B|^r + |C^*|^r\|\} \max\{w^{\frac{r}{2}}(BC), w^{\frac{r}{2}}(CB)\} \\ &+ \frac{1-\alpha}{4} \max\{w^r(BC), w^r(CB)\}. \end{split}$$

**Corollary 2.20** Let  $X \in \mathcal{B}(\mathcal{H})$ . Then for every  $\alpha \in [0,1]$  and  $r \geq 2$ , we have

$$w^{2r}(X) \leq \frac{1}{8}w^{2}(|X|^{r} + i|X^{*}|^{r}) + \frac{2+\alpha}{8}||X|^{r} + |X^{*}|^{r}||w^{\frac{r}{2}}(X^{2}) + \frac{1-\alpha}{4}w^{r}(X^{2})$$
$$\leq \frac{1}{2}||X|^{2r} + |X^{*}|^{2r}||.$$

*Proof.* We have

-

$$w^{2r}(X) = w^{2r} \left( \begin{bmatrix} 0 & X \\ X & 0 \end{bmatrix} \right)$$
(by Lemma 2.3)  

$$\leq \frac{1}{8} w^{2} (|X|^{r} + i|X^{*}|^{r}) + \frac{2+\alpha}{8} |||X|^{r} + |X^{*}|^{r} ||w^{\frac{r}{2}}(X^{2}) + \frac{1-\alpha}{4} w^{r}(X^{2}) \text{ (by Theorem 2.22)}$$

$$\leq \frac{1}{8} |||X|^{2r} + |X^{*}|^{2r} || + \frac{2+\alpha}{8} |||X|^{r} + |X^{*}|^{r} ||w^{\frac{r}{2}}(X^{2}) + \frac{1-\alpha}{4} w^{r}(X^{2}) \text{ (by Lemma 2.5)}$$

$$\leq \frac{1}{8} |||X|^{2r} + |X^{*}|^{2r} || + \frac{2+\alpha}{16} ||(|X|^{r} + |X^{*}|^{r})^{2} || + \frac{1-\alpha}{8} |||X|^{2r} + |X^{*}|^{2r} || + |X^{*}|^{2r} || + \frac{1-\alpha}{8} |||X|^{2r} + |X^{*}|^{2r} || + |X^{*}|^{2r} || + \frac{1-\alpha}{8} |||X|^{2r} + |X^{*}|^{2r} || \text{ (by Lemma 2.2)}$$

$$= \frac{1}{2} |||X|^{2r} + |X^{*}|^{2r} ||.$$

### **Scientific Ethics Declaration**

The author declares that the scientific ethical and legal responsibility of this article published in EPSTEM journal belongs to the authors.

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